

# Reinforcing and expanding the predictions of the disturbance vicariance hypothesis in Amazonian harlequin frogs: a molecular phylogenetic and climate envelope modelling approach

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**Abstract** The disturbance vicariance hypothesis (DV) has been proposed to explain speciation in Amazonia, especially its edge regions, e.g. in eastern Guiana Shield harlequin frogs (*Atelopus*) which are suggested to have derived from a cool-adapted Andean ancestor. In concordance with DV predictions we studied that (i) these amphibians display a natural distribution gap in central Amazonia; (ii) east of this gap they constitute a monophyletic lineage which is nested within a pre-Andean/western clade; (iii) climate envelopes of *Atelopus* west and east of the distribution gap show some macroclimatic divergence due to a regional climate envelope shift; (iv) geographic distributions of climate envelopes of western

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and eastern *Atelopus* range into central Amazonia but with limited spatial overlap. We tested if presence and apparent absence data points of *Atelopus* were homogeneously distributed with Ripley's K function. A molecular phylogeny (mitochondrial 16S rRNA gene) was reconstructed using Maximum Likelihood and Bayesian Inference to study if Guianan *Atelopus* constitute a clade nested within a larger genus phylogeny. We focused on climate envelope divergence and geographic distribution by computing climatic envelope models with MaxEnt based on macroscale bioclimatic parameters and testing them by using Schoener's index and modified Hellinger distance. We corroborated existing DV predictions and, for the first time, formulated new DV predictions aiming on species' climate envelope change. Our results suggest that cool-adapted Andean *Atelopus* ancestors had dispersed into the Amazon basin and further onto the eastern Guiana Shield where, under warm conditions, they were forced to change climate envelopes.

**Keywords** *Atelopus* · Bayesian inference · Bioclimate · MaxEnt · Maximum likelihood · Ripley's K function · South America

### Abbreviations

AUC Area under the receiver operating characteristic curve  
DV Disturbance vicariance hypothesis

### Introduction

There is a lot of ongoing debate regarding the explanation of plant and animal diversification in the Amazon basin and adjacent Guianas. Several historical biogeographic scenarios have been suggested (e.g. Haffer 1997, 2008; Hall and Harvey 2002; Noonan and Wray 2006). This paper focuses on the disturbance vicariance hypothesis (DV), which is described by Bush (1994), Noonan and Gaucher (2005) and Haffer (2008) derived from pollen analyses and patterns of species phylogenies. DV explains incomplete speciation in taxa on the eastern Guiana Shield due to relatively short phases of climate change during Pleistocene. During interglacials, cool-adapted species were retracted to higher elevations and allopatric speciation started, a process which was interrupted ('disturbed') as renewed glacials allowed for secondary contact via lowlands. Such a scenario, for instance, is suggested for caesalpinoid trees (Dutech et al. 2003) or bufonid and dendrobatid frogs (Noonan and Gaucher 2005, 2006). According to Bush (1994) and Noonan and Gaucher (2005), cool-adapted Guiana Shield taxa, which have undergone DV, are of Andean origin. This needs further explanation. Since Cenozoic, repeated phases of cool climate forced plant and animal taxa from the eastern Andean versant to occupy altitudinal ranges several

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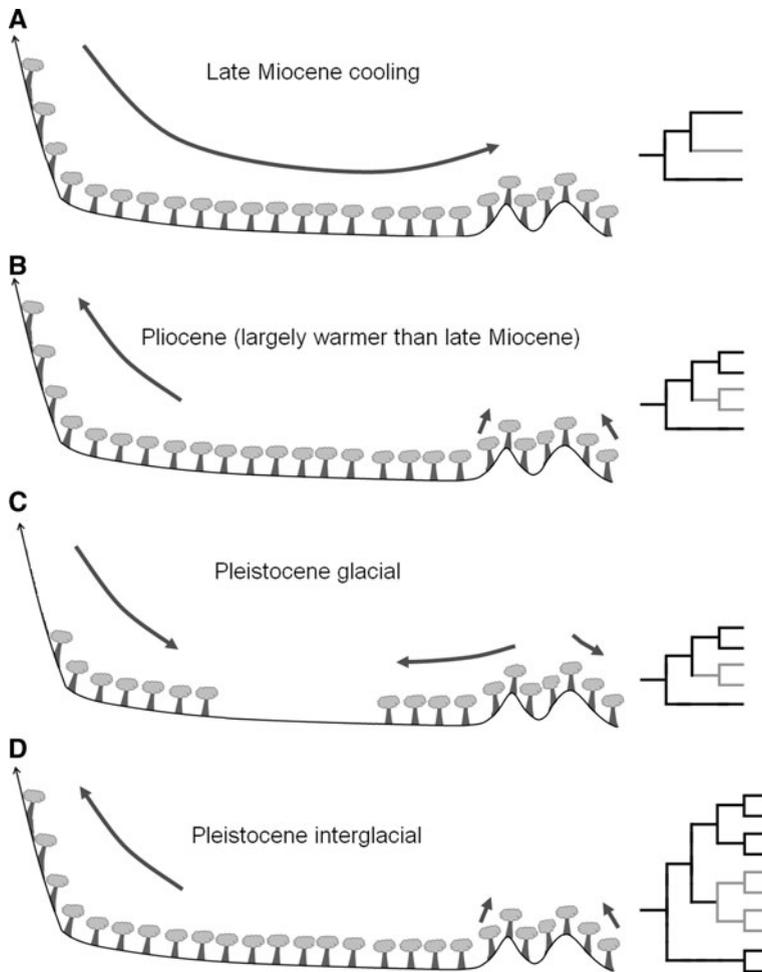
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hundred meters lower. Accordingly, diversity in the Amazon lowlands of coffee (Rubiaceae) or poison frogs (Dendrobatoidea) is explained, to give two examples recently studied (Antonelli et al. 2009; Santos et al. 2008). However, for a long time, eastward dispersal onto the eastern Guiana Shield was impossible as a result of marine incursions from the Caribbean Sea into western Amazonia (Lake Pebas). With further uplift of the Andes, this incursion vanished around the change from mid to late Miocene, 11–7 mya (e.g. Antonelli et al. 2009) and the Amazon River was born (Hoorn 2006). In the subsequent late Miocene climate, 5.4–9 mya (i.e. the South American Huayquerian), the Amazon has already entrenched to its today's bed (Figueiredo et al. 2009). The climate was cooler than that of the current postglacial (i.e. Holocene) but not as cool as during glacial periods, allowing for extensive forest cover over Amazonia (Bush 1994). Only during this time span, cool-adapted Andean forest species were able to reach the eastern Guiana Shield (Fig. 1a). With warming during the subsequent Pliocene forest cover persisted, but persistence or dispersal of cool-adapted species would have been impossible (Bush 1994). Cool-adapted species in western Amazonia could easily respond to warming by restriction to higher elevations along the Andean versant. Likewise on the eastern Guiana Shield, cool-adapted species were retracted to the numerous existing hills. Vicariant speciation processes were thus initialized (Fig. 1b). With every Pleistocene glacial (starting only ca. 500,000 years BP), this retraction was 'disturbed' as renewed cooling allowed for lowland dispersal, as mentioned above (Fig. 1c–d). New dispersal from western Amazonia or re-dispersal from the eastern Guiana Shield deep into central Amazonia was impossible, as glacial cooling was stronger than that during the late Miocene accompanied by a reduction in precipitation of up to 20% (Bush 1994). As proposed further by Bush (1994), this resulted in forest loss leaving lowland forest fragments in western Amazonia along the Andean versant and on the eastern Guiana Shield plus vicinities only (Fig. 1c).

Harlequin frogs (*Atelopus*) are a species-rich bufonid genus of Andean origin, with more than 100 species occurring in forest or paramo habitats in the Andes (Lötters 1996; La Marca et al. 2005). In this paper we focus on the less than 10 *Atelopus* (depending on the taxonomy applied; see Lötters et al. 2002) occurring exclusively in forest habitats in the Amazon basin and on the eastern Guiana Shield. In an earlier molecular genetic study, Noonan and Gaucher (2005) showed that the five nominal species of the eastern Guiana Shield harlequin frogs are genetically little differentiated and that they apparently interbreed in nature. Supported by divergence time estimates, these authors advocated that the observed phylogeographical patterns in *Atelopus* fit DV predictions, i.e. that a single Andean ancestor had invaded the eastern Guiana Shield (likely in late Miocene, as also suggested for other anuran amphibians; Santos et al. 2008) and has started speciation there in the Pleistocene due to the alteration of glacial and interglacial phases (as illustrated in Fig. 1a–d).

To their molecular phylogeny, Noonan and Gaucher (2005) added only four *Atelopus* species from outside the eastern Guiana Shield. As a result, the validity of their study is pending on additional corroboration. This is especially significant because our knowledge on the current distribution of harlequin frogs in central Amazonia is poorly understood. Lescure and Gasc (1986), with providing data, proposed a continuous distribution of harlequin frogs from the Andes to the eastern Guiana Shield. In contrast, Lötters et al. (2002), in a taxonomic study, were unable to trace *Atelopus* material in scientific collections from a large part of central Amazonia, casting some doubt on a continuous distribution. Such a hiatus could be well explained by DV predictions, since the recolonisation of central Amazonia, either from the western Amazonian lowlands or from the eastern Guiana Shield plus vicinities, would be impossible during the current postglacial. From a



**Fig. 1** Schematic illustration of DV with Andes in the West (*left*) and the eastern Guiana Shield in the East (Guiana Shield species indicated in grey in phylogenetic trees): (a) cooling during the late Miocene allowed for dispersal (*arrow* in drawing, grey in phylogenetic tree) of cool-adapted forest taxa from the Andean versant eastward up to the eastern Guiana Shield; (b) due to subsequent warming (Pliocene) cool-adapted species were retracted to higher elevations (*arrows*) resulting in vicariant speciation; (c) speciation is 'disturbed' during Pleistocene glacials cool-adapted species as lowland dispersal was possible again (with limitations due to forest loss and the development of a western and an eastern forest fragment); (d) retraction to higher elevations and speciation continued during warmer interglacials. Scenario (d) was followed by (c) for several times. Scheme not to scale

phylogenetic point of view, according to DV predictions and the findings of Noonan and Gaucher (2005), we expect that harlequin frogs from east of this suspected distribution gap in central Amazonia constitute one clade nested within those from the Andes and Amazonian lowlands adjacent to them (Fig. 1d) if more species were included from more of the genus' entire geographic range than available to Noonan and Gaucher (2005).

Species can respond to climate change in two ways. One is change of geographic range (i.e. increase, decrease down to extinction, shift) and maintenance of the specific climate envelope, termed niche conservatism (e.g. Peterson et al. 1999; Wiens and Graham 2005).

In the other the geographical range is retained, necessarily accompanied by climate envelope shift (e.g. Broennimann et al. 2007; Pearman et al. 2007; Rödder et al. 2009). Species climate envelope predictions have never been formulated with regard to DV. According to our understanding of DV, we largely expect climate conservancy in Amazonian and Guianan *Atelopus* as, under DV, species change their geographic ranges as a response to a changing climate (Fig. 1a–d). Vertical range shift of cool-adapted species along the Andean versant was up to 800 m (Bush 1994). However, maximum altitudes found on the eastern Guiana Shield have been about 300 m above today's sea level only. As niche shift is facilitated in small populations pushed to their margin of environmental tolerance (Holt and Gomulkiewicz 2004; Holt et al. 2005; Jakob et al. 2010), it may be assumed that within the eastern glacial forest fragment (Fig. 1c) climate envelopes have shifted in those cool-adapted species which have survived warmer periods. As a consequence, when comparing current-day *Atelopus* populations from the western and eastern Amazonian (including the eastern Guiana Shield) lowlands (Fig. 1c) their climate envelopes under today's macroclimate are predicted to show some divergence.

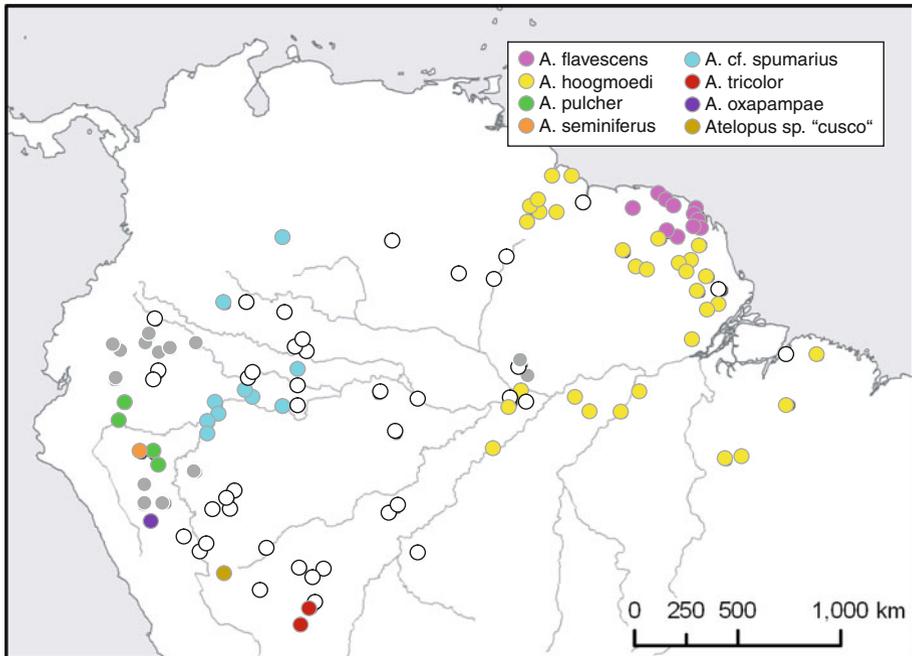
The contemporary postglacial was warmest about 8,000–4,500 years BP and temperature has decreased since then. According to DV, harlequin frog species should currently be able to re-expand their distributions into lower areas. When mapping climate envelopes of current-day *Atelopus* populations from both western and eastern Amazonia under macroclimatic conditions into geographic space, they should range into central Amazonia. However, because of the expected climate envelope shift in eastern Amazonian *Atelopus*, mapped climate envelopes (which can be understood as species' potential distributions) are predicted to be rather allopatric than sympatric.

In this paper we combined different methodological approaches to study (i) if extant harlequin frogs display a central Amazonian distribution gap; (ii) if eastern Amazonian *Atelopus* constitute a single clade nested in a phylogeny comprising an enlarged data set from the Andes and adjacent lowlands; (iii) if climate envelopes of western versus eastern Amazonian populations (i.e., geographically well delimited by a natural central Amazonian distribution gap) are divergent under today's macroclimate; (iv) if allopatry is the result rather than sympatry when mapping these climate envelopes into geographic space. We discuss in how far our result reinforce and expand DV predictions.

## Methods

### A central Amazonian distribution gap

In order to determine the extant distribution of *Atelopus* in Amazonia, 87 presence data points from all over Amazonia were employed in this study (Fig. 2). They were taken from published references and obtained through interviews with seven experts (see Appendix). Interviews were open, non-standardized, as described by Atteslander (2008). Only acknowledged experts in anuran taxonomy and with field experience in Amazonia or the eastern Guiana Shield were interviewed. Additional presence data were taken from scientific collections. As an altitudinal limit for pre-Andean/western Amazonia we chose 800 m above sea level, the approximate upper border of the *tierra caliente* lowlands. Latitude and longitude coordinates for presence data points were obtained from the sources listed in the Appendix. If not provided, they were obtained through the Alexandria Digital Library Gazetteer (Hill and Zheng 1999; <http://www.alexandria.ucsb.edu/gazetteer>).



**Fig. 2** Northern South America showing data points of presence (grey and coloured circles) and apparent absence (open circles) of harlequin frogs in Amazonia (see Appendix). Colours refer to presence points of Amazonian taxa processed in the phylogeny. (Color figure online)

In addition, 42 data points of apparent absence of harlequin frogs, illustrated in Fig. 2 (see Appendix), were obtained from published references and expert interviews as described above. We only included data points at elevations  $\leq 800$  m above sea level and situated in an area defined through a Minimum Convex Polygon (MCP) for all presence data, created with DIVA-GIS 5.4.

We are aware that absence is nearly impossible to prove and should be handled with caution; therefore, we independently analysed presence and absence information. For this, Ripley's K function, a multi-distance spatial cluster analysis, was used to independently study spatial dependence in both data sets (Fig. 2) by comparison to a random pattern, which follows a Poisson distribution (Ripley 1977; Haase 1995). If the K function of the data differs significantly from that of the random distribution, data points under study are clustered (i.e. aggregated, when above that of the random distribution) or highly dispersed (i.e. when below random expectation). Analysis was performed with the Spatial Statistics (confidence envelope: 99 permutations) tool box of ArcGIS Desktop 9.2 (ESRI; <http://www.esri.com>).

#### Nested monophyly of eastern Amazonian *Atelopus*

Noonan and Gaucher (2005) based their study on fragments of the mitochondrial genes *cyt b* and ND2. We here chose a fragment of the mitochondrial 16S rRNA gene for two reasons. First, this locus is a widely used marker in amphibian systematics, especially suitable because of strong constancy of priming sites and information content at the species

**Table 1** List of species used for the molecular analysis, their GenBank accession numbers and origin

Species	Accession number	Locality
<i>Atelopus bomolochos</i>	AF375508	Ecuador: near Zhund
<i>Atelopus chiriquiensis</i>	U52780	Panama
<i>Atelopus flavescens</i>	EU672970	French Guiana: Lac des Americains
<i>Atelopus flavescens</i> ('barbotini')	EU672971	French Guiana: near Saül
<i>Atelopus haliheles</i>	AF375510	Ecuador: near Plan de Milagro
<i>Atelopus hoogmoedi</i>	EU672972	French Guiana: Monts Bakra
<i>Atelopus longirostris</i>	AF375511	Ecuador, Mindo region
<i>Atelopus pulcher</i>	EU672973	Peru: Tarapoto region
<i>Atelopus hoogmoedi</i>	EU672974	Guiana: Mabura Hill region
<i>Atelopus hoogmoedi</i>	DQ283260	French Guiana: near Saül
<i>Atelopus spurrelli</i>	EU672975	Colombia: Bahía Solano
<i>Atelopus seminiferus</i>	EU672976	Peru: Alto Mayo
<i>Atelopus</i> cf. <i>spumarius</i>	EU672977	Peru: Iquitos region
<i>Atelopus tricolor</i>	EU672978	Bolivia: Yungas de La Paz
<i>Atelopus varius</i>	U52779	Panama
<i>Atelopus varius</i>	AY325996	Costa Rica: near Las Alturas
<i>Atelopus zeteki</i>	DQ283252	Panama: Las Filipinas
<i>Atelopus oxapampae</i>	EU672979	Peru: Oxapampa region
<i>Atelopus</i> sp. 'cusco'	EU672980	Peru: near Puente Fortaleza
<i>Atelopus</i> sp. 'cocha'	AF375509	Colombia: Laguna Cocha
<i>Rhinella marina</i>	DQ283062	Peru
<i>Dendrophryniscus brevipollicatus</i>	AF375515	Brazil
<i>Osornophryne puruanta</i>	EU672982	Ecuador
<i>Osornophryne antisana</i>	EU6729823	Ecuador
<i>Osornophryne</i> sp. 1	EU672981	Ecuador
<i>Osornophryne</i> sp. 2	EU6729824	Ecuador
<i>Eleutherodactylus</i> cf. <i>johnstonei</i>	AF124123	Unknown

level (e.g. Vences et al. 2005). Second, the use of 16S allowed us to maximize the species sample size in order to study nested monophyly of eastern Amazonian harlequin frogs. As listed in Table 1, sequences of nine *Atelopus* (three outgroup species) were available from GenBank (<http://www.ncbi.nlm.nih.gov>; Benson et al. 2004). We supplemented these data by sequencing 16S for 11 additional *Atelopus* plus four outgroup taxa (Table 1).

DNA was extracted from toe clips. Tissue samples (stored in 99% ethanol) were digested using proteinase K (final concentration 1 mg/mL), homogenised and subsequently purified following a high-salt extraction protocol (Bruford et al. 1992). Polymerase chain reaction (PCR) primers for the fragment of the 16S rRNA gene were 16SA-L and 16SB-H of Palumbi et al. (1991), used as in Van der Meijden et al. (2007). PCR products were purified via spin columns (Qiagen). Sequencing was performed directly using the corresponding PCR primers. New sequences were combined with existing sequences taken from GenBank in the final dataset containing 27 taxa including bufonid and non-bufonid outgroups (Table 1). Sequences were aligned using ClustalW (Thompson et al. 1994) and subsequently edited by hand. The final alignment contained a total of 570 positions of which 219 were variable and 136 were parsimony-informative.

Phylogeny reconstruction was performed using Maximum Likelihood (ML) and Bayesian Inference (BI) methods. Gaps were treated as unknown characters. The best fitting models of sequence evolution were determined by the AIC criterion as implemented in Modeltest 3.06 (Posada and Crandall 1998). ML tree searches were performed using PhyML, version 2.4.4 (Guindon and Gascuel 2003). Bootstrap branch support values were calculated with 200 replicates. The Bayesian analyses of the combined and separate datasets was conducted with MrBayes 2.0 (Huelsenbeck and Ronquist 2001) with 2 million generations, sampling trees every 10th generation and calculating a consensus tree after omitting the first 200,000 trees as burn-in determined with the Tracer 1.4 (<http://beast.bio.ed.ac.uk/Tracer>). No well supported topological differences were found between the BI and ML trees; the ML tree was used in the subsequent analysis.

### Divergence in climate envelopes and allopatry

Climate envelopes for western and eastern Amazonian *Atelopus* were modelled, subsequently mapped into geographic space and compared. For our approach we used the presence data points listed in the Appendix (30 for all western and 54 for all eastern Amazonian *Atelopus*; Fig. 2). We created models based on seven macroscale bioclimatic parameters (Table 2) describing the availability of thermal energy and water, widely used in climate envelope models (e.g. Carnaval and Moritz 2008; Rödder and Lötters 2009). Using DIVA-GIS 5.4 (Hijmans et al. 2001), bioclimatic parameters were extracted from the WorldClim 1.4 interpolation model with grid cell resolution 2.5 min for the period 1950–2000 (Hijmans et al. 2005) at (i) the species records as well as (ii) at 1,000 random points within both the MCP of the western and eastern *Atelopus* presence. For comparison, we computed boxplots with XLSTAT 2009 (Addinsoft). Subsequently, climate envelope models were generated and mapped with MaxEnt 3.2.19 (Phillips et al. 2006) based on the principle of maximum entropy (Jaynes 1957). This approach yields more reliable results than comparable methods (e.g. Elith et al. 2006; Heikkinen et al. 2006; Wisz et al. 2008), especially when data points for species number relatively few (e.g. Hernandez et al. 2006). Using default settings, 25% of the data points were randomly reserved for model testing (duplicate presence records in one grid cell were automatically removed). Prediction accuracy was evaluated through threshold-independent receiver operating characteristic (ROC) curves and the calculation of the area under the curve (AUC) method (e.g. Hanley and McNeil 1982). We acknowledge that there is currently some discussion about the suitability of AUC (Lobo et al. 2008). However, for our application AUC is the best possible choice. Elith and Graham (2009) pointed out that none of the frequently applied statistics in AUC are misleading and that appropriate statistics relevant to the application of the model need to be selected. The logistic MaxEnt output was chosen which is continuous and linear scaled (0–1, with 0.1 being the minimum Maxent value at the training records already suggesting suitability to the species under study; Phillips et al. 2006).

We quantitatively compared climate envelopes of western and eastern Amazonian *Atelopus* with Schoener's index ( $D$ ) and Hellinger distance ( $I$ ) as modified by Warren et al. (2008). Both indices allow for testing climate envelope similarity between two probability distributions of (e.g. climate envelope) distributions over geographic space, whereby  $D$  and  $I$  values range from 0 to 1 (i.e. models have no to entire overlap). We evaluated the significance of  $D$  and  $I$  values with null models regarding climate envelope similarity and equivalency representing two extremes within the spectrum of niche conservatism (Warren et al. 2008). Tests were performed separately for each bioclimatic parameter in the manner of Rödder and Lötters (2009). Moreover, for climate envelope equivalency, we applied a

**Table 2** AUC values per model, climate envelope overlap in terms of *I* and *D* values and assessment of their similarity and equivalency via randomization tests (see text)

Bioclimatic parameter	Model fit			<i>D</i>			<i>I</i>		
	AUC <sub>Western</sub>	AUC <sub>Eastern</sub>	Overlap	Identity	Similarity Western, Eastern	Overlap	Identity	Similarity Western, Eastern	
Annual mean temperature	0.798, 0.750		0.93	ns	<b>&lt;0.01</b> , <b>&lt;0.05</b>	0.94	ns	<b>&lt;0.01</b> , <b>&lt;0.05</b>	
Mean monthly temperature range	0.796, 0.896		0.58	<0.01	<0.01, ns	0.72	<0.05	<0.01, ns	
Maximum temperature of the warmest month	0.738, 0.806		0.81	<0.05	<b>&lt;0.05</b> , <0.01	0.87	<0.05	<0.05, <0.01	
Minimum temperature of the coldest month	0.871, 0.850		0.74	<0.01	<b>&lt;0.05</b> , ns	0.82	<0.01	<b>&lt;0.05</b> , ns	
Annual precipitation	0.881, 0.839		0.90	ns	<b>&lt;0.01</b> , ns	0.94	ns	<b>&lt;0.01</b> , ns	
Precipitation of the wettest month	0.743, 0.849		0.78	<0.01	ns, <b>&lt;0.01</b>	0.86	<0.01	ns, <b>&lt;0.05</b>	
Precipitation of the driest month	0.914, 0.857		0.55	<0.01	ns, <0.01	0.70	<0.01	ns, <0.01	

Significant values of climate envelope equivalency are indicated with *asterisks*; ns  $P > 0.05$ ; \*  $P < 0.05$ ; \*\*  $P < 0.01$ . Values where observed overlap is greater than the null distribution are indicated in *bold*, values where overlap was smaller than the null distribution are *italicized*

randomization test as proposed by Warren et al. (2008) which relies on the metrics  $D$  and  $I$ . For western and eastern Amazonian harlequin frog occurrences 100 pseudoreplicate datasets were created by randomly partitioning the combined number of western and eastern occurrences into sets of the same size of the original of western and eastern datasets. Climate envelope models were built from each pseudoreplicate in order to generate null distributions. The overlap between models computed with the original data sets were compared to the percentiles of these null distributions in a one-tailed test to evaluate the hypothesis that climate envelope models for western and eastern records were not significantly different. This test allows for an assessment of climate envelope maintenance (i.e. niche conservatism) in a strict sense, i.e. the effective equivalency of the climate envelope in the western and eastern geographic ranges. It is expected to be only met if western and eastern harlequin frogs tolerate exactly the same set of climatic conditions and have the same set of environmental conditions available to them.

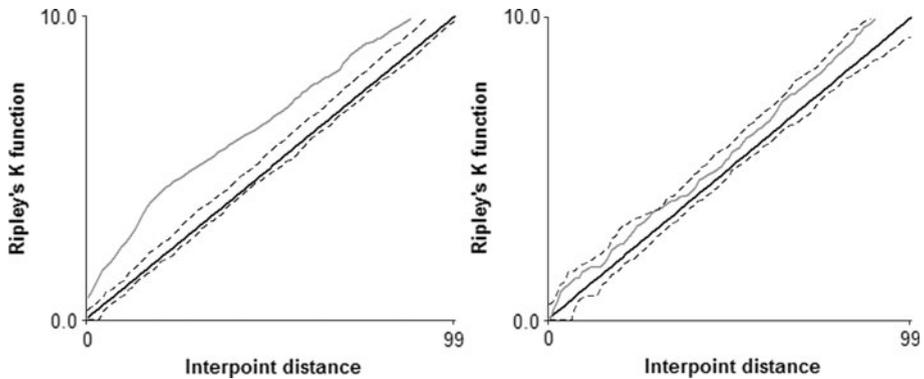
In order to assess climate envelope similarity, we again used a randomization test of Warren et al. (2008). It compares the actual similarity of climate envelopes in terms of  $D$  and  $I$  values to the distribution of similarities obtained by comparing them to a climate envelope model created through randomly choosing cells from among the cells in the study area. The same procedure was performed in both directions (western to eastern records vice versa) 100 times to construct an expected distribution of  $D$  and  $I$  values between a climate envelope model generated using actual occurrences and another one generated from random background data points extracted within a MCP enclosing one set of records. These null distributions served as a two-tailed test to assess the null hypothesis that measured climate envelope overlap between western and eastern Amazonian *Atelopus* is explained by regional similarities or differences in available habitat ('background effects'). This hypothesis is rejected if the actual similarity falls outside the 95% confidence limits of the null distribution suggesting active habitat choice. Significantly higher values suggest that climate envelopes are more similar than expected by chance and lower values indicate greater differences. Computations of  $D$ ,  $I$ , climate envelope similarity and equivalency were performed with a Perl script developed by Warren et al. (2008).

## Results and discussion

### A central Amazonian distribution gap

Figure 2 suggests that indeed Amazonian harlequin frogs display a distribution gap in central Amazonia. Ripley's K function for presence data points revealed that they are above the function for randomly distributed points (Fig. 3), i.e. that the presence data are significantly clustered. Clustered presence data points endorse that a distribution gap exists, excluding the possibility that this pattern is caused by different sampling efforts in different areas, however. With respect to data of apparent absence, we acknowledge that it has to be regarded with care. Interpreting them under Ripley's K function, they fall within the confidence intervals of a random distribution (Fig. 3). This lets us tentatively conclude that it is unlikely that limited sampling efforts can be made responsible for the distribution gap identifiable in Fig. 2.

The existence of a natural distribution gap is expectable under DV (Fig. 1c) and therefore reinforces our hypothesis of Amazonian harlequin frog historical biogeography. However, it needs to be noted that this explanation for the observed geographic pattern is a single possibility out of many possible causes. A gap alone leaves also space for other explanations than DV.



**Fig. 3** Ripley's K functions showing that presence data points (*left*) are significantly inhomogeneous (i.e. clustered) while apparent absence data points are homogeneously distributed (compare Fig. 2). **Bold black line:** expected K function with lower and upper confidence envelopes (*dashed*), **bold grey line:** observed K function

#### Nested monophyly of eastern Amazonian *Atelopus*

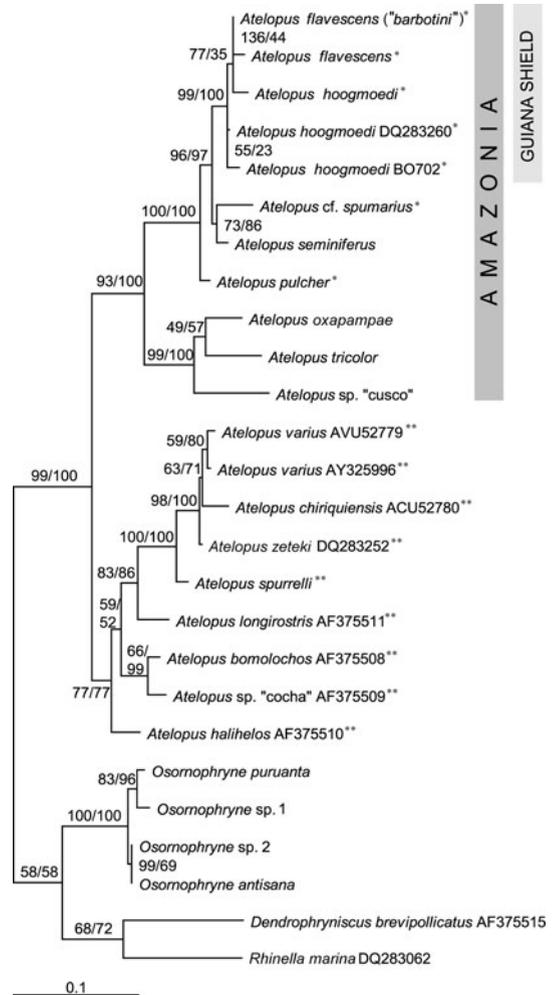
Figure 4 illustrates a ML phylogram for 20 harlequin frogs and outgroups. All Amazonian *Atelopus* comprise a well supported monophyletic lineage, which is sister to all other members in the genus (i.e. a combination of Andean and trans-Andean species; Table 1). The species included in this group are the only *Atelopus* showing bright pink, violet or red coloured hand and foot soles, perhaps representing a synapomorphy (e.g. Lötters 1996; Lötters et al. 2002). Moreover, the only harlequin frogs known to possess a middle ear are included in this group (absent in most members of the genus; Lötters 1996). However, not all species used in our phylogeny have been studied for ear ossicle conditions, so that phylogenetic information can only be expected here (Fig. 4). Within this Amazonian clade, two sub-clades are evident, supported by high bootstrap and Bayesian posterior probability values. One includes the species from central to southern Peru and Bolivia, i.e. an *A. tricolor*-clade (see Fig. 4). The other is comprised of all studied species from the upper portion of the Amazon River plus the eastern Guiana Shield and the portion of the Amazon basin adjacent to it. Our data strongly support the eastern Guiana Shield *Atelopus* forming a monophyletic subset of this clade. Similar to the results of Noonan and Gaucher (2005), Guianan *Atelopus* are little differentiated, as reflected by the weak support of groupings among them. Our findings fully support Noonan and Gaucher (2005) who suggested that DV predictions are well applicable to harlequin frogs.

*Atelopus* species from the Venezuelan Andes and the Caribbean coastal range, i.e. proximate to the Guiana Shield, show osteological and external morphological characters suggesting a closer relationship to Colombian Andean taxa (McDiarmid 1971). However, we lack other characters, such as those from molecular phylogenetics studies, to validate or dispose this view.

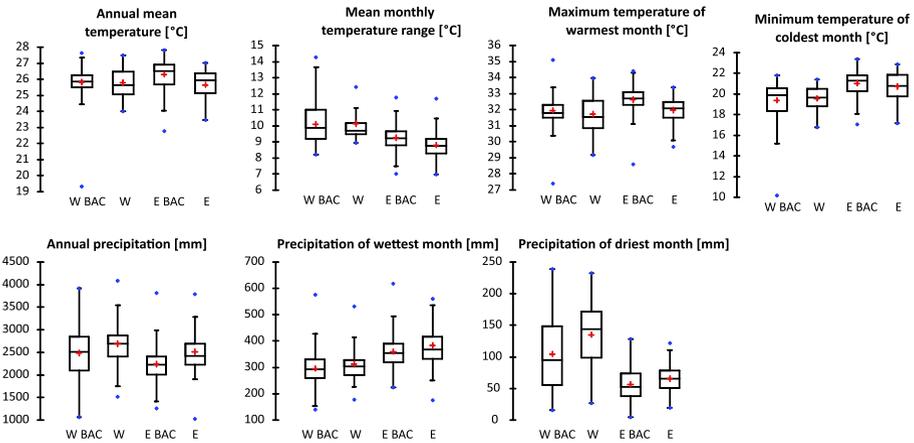
#### Divergence in climate envelopes and allopatry

Prediction accuracy of MaxEnt climate envelope models was high as suggested by 'excellent' AUC values (western Amazonian *Atelopus*: test 0.955, training 0.980; eastern Amazonian *Atelopus*: test 0.979, training 0.985) following the AUC classification accuracy of Swets (1988).

**Fig. 4** ML phylogram of different *Atelopus* species from all over the genus' range (Table 1) based on the mitochondrial 16S rRNA gene showing that Amazonian *Atelopus* constitute a monophyletic unit with those from the eastern Guiana Shield nested within them. Numbers above branches indicate Maximum Likelihood bootstrap support/Bayesian posterior probabilities values. Species names are accompanied by GenBank accession numbers. This tree was rooted with *Eleutherodactylus cf. johnstonei* (not shown). It is also indicated in the *Atelopus* species if presence (\*) or absence (\*\*\*) of a middle ear is known



Comparing box plots (Fig. 5), the available climate space as well as climate envelopes of western and eastern Amazonian *Atelopus* are similar as ranges of all bioclimatic parameters in our modelling approach largely overlap. Two of the temperature parameters, 'annual mean temperature' and 'maximum temperature of the warmest month', are rather alike (i.e. not significantly different; Table 2), while one temperature and two of the precipitation parameters, 'mean monthly temperature range', 'precipitation of the wettest month' and 'precipitation of the driest month', show significant divergence (Table 2). These observations are highly coincident with the *D* and *I* values, which characterize the climate envelope overlap (Table 2). The niche identity tests revealed that the climate envelopes of eastern and western harlequin frogs were identical in terms of annual means of temperature and precipitation. The null hypothesis that climate envelopes are equivalent in the western and eastern ranges was rejected for all other parameters. The climate envelope similarity test revealed that overlap in the 'annual mean temperature' and the 'maximum temperature of the warmest month' can be most likely traced back to active habitat choice. These findings corroborate our expectation that climate envelopes of western and eastern Amazonian harlequin frogs show some



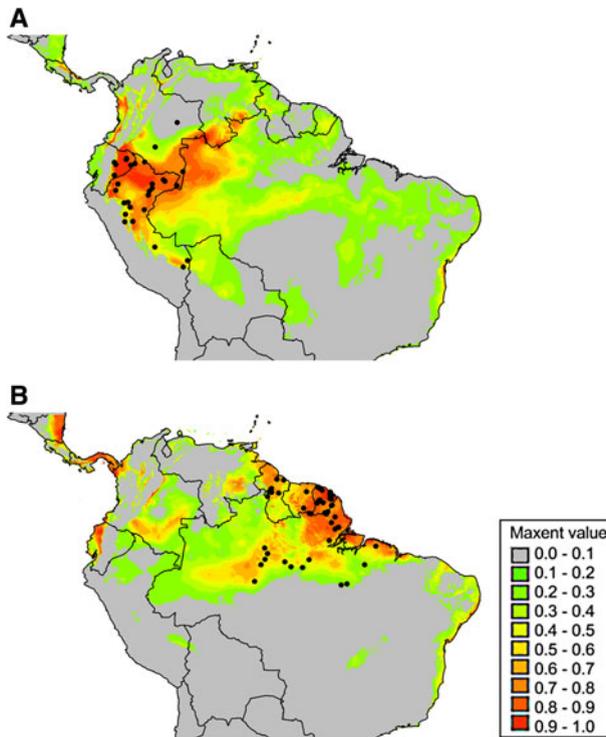
**Fig. 5** Box plots of seven bioclimatic parameters in climate envelope models of western (W) and eastern Amazonian *Atelopus* (E) and available climate space within MCPs (W BAC; E BAC). Values given in the upper row refer to temperature in °C and those in the lower row refer to precipitation in mm. Broad horizontal bars indicate the first and third quartiles as well as the median. Short horizontal bars indicate minimum/maximum values while dots do represent extremes outside 95% confidence intervals. Mean values are indicated by crosses

divergence. However, background effects (i.e. wide availability of suitable climate conditions) may at least partly explain the overlap observed for the other parameters. Whereas eastern Amazonian *Atelopus* actively chose their habitats according to some climate components which are only limitedly available to them, these same climate components may be widely available within the range of western *Atelopus*, where other components may be actually limiting. Such patterns are reasonable since different parameters may be widely available or limiting in eastern or western ranges influencing habitat choice. Hence, our findings suggest once cool-adapted *Atelopus* ancestors, under warm conditions, were forced to change climate envelopes.

Because ‘excellent’ AUC values suggest a high prediction accuracy (see above), we mapped climate envelope of western and eastern Amazonian *Atelopus* into geographic space on the full presence data point sets (i.e. this time no data points were set aside for testing). Doing so, it is possible to take advantage of all available information and to provide best estimated prediction maps (see Phillips et al. 2006). Results are shown in Fig. 6. Fitting well with the comparison of the climate envelopes of the two units studied (Fig. 5; Table 2), their geographic distributions are largely allopatric with overlap corresponding to lower suitability (i.e. lower MaxEnt values). Areas of higher suitability of climate envelopes (i.e. warmer colours in Fig. 6) of western and eastern Amazonian *Atelopus* show little overlap.

**Conclusion**

We provide molecular phylogenetic evidence that all Amazonian *Atelopus* constitute a monophyletic group and find support that a natural distribution gap in central Amazonia for these amphibians exists. Harlequin frogs from east of this gap are a monophyletic subset, suggesting that they have derived from a single ancestral stock which subsequently has started vicariant speciation. Our findings corroborate the results of Noonan and Gaucher (2005).



**Fig. 6** Potential distributions of western (a) and eastern (b) Amazonian *Atelopus*. Colours from green via yellow to red refer to MaxEnt values of probability with warmer colours standing for areas with better predicted conditions (range 0–1, logistic MaxEnt output). Illustrations were performed with DIVA-GIS 5.4. (Color figure online)

These authors advocated that DV predictions are met in Amazonian and in particular eastern Guiana Shield *Atelopus*. We here demonstrate that DV predictions are also met when genetic sampling is expanded by inclusion of more species from the entire genus' distribution.

The justified spatial breakup into western and eastern Amazonian groups afforded us for the first time to derive DV predictions regarding climate envelope change in taxa of Andean origin. These predictions were met, as we were able to show that climate envelopes of both groups were similar regarding some parameters but that other parameters significantly differed. These different parameters result in allopatric potential distributions of western and eastern Amazonian *Atelopus*. Geographic range shift does not strictly result in climate envelope change, as commonly species tend to change their distributions with changing climate being bound to physiological constraints hampering climate envelope shifts regarding some parameters (e.g. Parmesan 2006). Because of the limited elevational range in the eastern Guiana Shield, cool-adapted taxa facing extinction risk were forced with a strong selective pressure to change their climate envelopes. We suggest that this is a prediction which is generally applicable to Andean species under DV.

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## Appendix

See Table 3.

**Table 3** List of localities in Amazonia and on the eastern Guiana Shield of presence and apparent absence of harlequin frogs (*Atelopus*)

Locality	Approximate location	Presence or apparent absence	Source(s)
<i>Bolivia (3 localities, 0 presence)</i>			
Cobija, Depto. Pando	11.01 S, 68.45 W	–	Köhler and Lötters (1999)
Río Ortón, Depto. Pando	10.58 S, 69.40 W	–	I. De la Riva, pc; S. Reichle, pc
Tahuamanu, Depto. Pando	11.24 S, 69.10 W	–	I. De la Riva, pc; S. Reichle, pc
<i>Brazil (39 localities, 21 presences)</i>			
Ajarani region, Edo. Roraima	02.0 N, 62.45 W	–	C. Azevedo-Ramos, pc
Alto Rio Juruá region, Edo. Amazonas	08.0 S, 72.50 W	–	C. Azevedo-Ramos, pc
Baixo Rio Juruá region, Edo. Amazonas	03.15 S, 66.15 W	–	C. Azevedo-Ramos, pc
Belém region, Edo. Pará	01.29 S, 48.24 W	–	C. Azevedo-Ramos, pc
Boa Vista region, Edo. Roraima	02.49 N, 60.40 W	–	J.P. Caldwell, pc
Cáiman region, Edo. Amapá	03.18 N, 52.15 W	+	Lescure, (1981a)
Chanpiom region, Edo. Pará	01.20 N, 51.16 W	–	C. Azevedo-Ramos, pc
Carajás region, Edo. Pará	06.02 S, 50.25 W	+	C. Azevedo-Ramos, pc
CEMEX, SE of Santarém, Edo. Pará	03.09 S, 54.51 W	+	J.P. Caldwell, pc
Cruzeiro do Sul, Edo. Acre	07.37 S, 72.35 W	–	Authors' pers. observ.
Igarapé de Piranha, Edo. Amazonas	05.43 S, 61.16 W	+	MZUSP
Ituxi region, Edo. Amazonas	08.17 S, 65.30 W	–	C. Azevedo-Ramos, pc
Jacareacanga, Edo. Pará	01.32 S, 47.03 W	+	ZUEC
Lago do Castanho, Edo. Amazonas	03.45 S, 60.30 W	+	ZUEC
Mamirauá region, Edo. Amazonas	03.30 S, 64.35 W	–	C. Azevedo-Ramos, pc

**Table 3** continued

Locality	Approximate location	Presence or apparent absence	Source(s)
Maués, Edo. Amazonas	03.24 S, 57.42 W	+	AMNH
Monte Cristo, Edo. Pará	04.40 S, 55.38 W	+	MZUSP
Município de Castanho, Edo. Amazonas	03.30 S, 59.54 W	–	J.P. Caldwell, pc
Paragominas region, Edo. Pará	03.45 S, 48.20 W	+	C. Azevedo-Ramos, pc
PN da Serra do Divisor, Edo. Acre	08.20 S, 73.32 W	–	Authors' pers. observ.
Pojuca, Serra do Carajás, Edo. Pará	06.10 S, 51.05 W	+	ZUEC
Porto Platon, Edo. Amapá	00.42 N, 51.27 W	+	MZUSP
Porto Grande, Edo. Amapá	00.42 N, 51.24 W	+	ZUEC
Porto Walter, Edo. Acre	08.15 S, 72.47 W	–	J.P. Caldwell, pc
Presidente Figueiredo, Edo. Amazonas	02.00 S, 60.00 W	–	Authors' pers. observ.
Reserva Campina, Edo. Amazonas	03.07 S, 60.03 W	+	ZUEC
Reserva INPA-WWF, Edo. Amazonas	02.25 S, 59.43 W	+	MZUSP
Reserva Pacanari, Edo. Pará	00.52 S, 52.31 W	+	ZUEC
Rio Amapari, Edo. Amapá	01.15 N, 52.15 W	+	MZUSP
Rio Formoso, Edo. Rondônia	10.19 S, 64.34 W	–	J.P. Caldwell, pc
Rio Ituxi, Edo. Amazonas	08.29 S, 65.43 W	–	J.P. Caldwell, pc
Rio Manjuru, Edo. Amazonas	04.00 S, 57.00 W	+	AMNH
Rio Maú, Edo. Roraima	04.20 N, 59.45 W	+	MZUSP
Serra do Navio, Edo. Amapá	01.55 N, 51.50 W	+	MZUSP; McDiarmid (1973)
Terra Verde Lodge, Edo. Amazonas	03.37 S, 59.86 W	–	J.P. Caldwell, pc
Urucú region, Edo. Amazonas	05.00 S, 65.30 W	–	C. Azevedo-Ramos, pc
Circa 90 km N of Manaus, Edo. Amazonas	01.45 S, 60.05 W	+	Gascon (1989)
1.0 km NW of Caracará, Edo. Roraima	01.50 N, 61.08 W	–	J.P. Caldwell, pc
<i>Colombia (11 localities, 3 presences)</i>			
Calderón, Depto. Amazonas	03.46 S, 69.53 W	–	Ardila-R. and Ruiz-C (1997)
Caño Cabina, Leticia, Depto. Amazonas	03.40 N, 70.25 W	+	J.M. Renjifo, pc
Igara Parana, Depto. Amazonas	00.44 N, 72.58 W	+	BM; Lescure, (1981a)
La Pedrera, Depto. Amazonas	01.18 S, 69.22 W	–	Ardila-R. and Ruiz-C (1997)
Río Apaporis, Depto. Vaupes	00.45 N, 72.00 W	–	J.M. Renjifo, pc
Río Mirití, Depto. Amazonas	01.12 S, 69.53 W	–	Ardila-R. and Ruiz-C, (1997)
Río Puré, Depto. Putumayo	02.10 S, 69.42 W	+	ICN

**Table 3** continued

Locality	Approximate location	Presence or apparent absence	Source(s)
Río Tiquie, Depto. Vaupes	00.20 N, 70.20 W	–	J.M. Renjifo, pc
Tarapacá, Depto. Amazonas	02.52 S, 69.44 W	–	Ardila-R. and Ruiz-C, (1997)
Tomachipan, Depto. Guaviare	02.18 S, 71.46 W	–	J.M. Renjifo, pc
Serranía de Taraira, Depto. Vaupes	00.55 S, 69.40 W	–	J.M. Renjifo, pc
<i>Ecuador (8 localities, 7 presences)</i>			
Cuyabeno Reserve, Prov. Sucumbíos	00.00, 76.00 W	–	L.A. Coloma, pc; J.P. Caldwell, pc
Jatun Sacha Reserve, Prov. Napo	01.05 S, 77.45 W	+	L.A. Coloma, pc
Miazal, Prov. Morona-Santiago	02.37 S, 77.47 W	+	Rivero (1968)
PN Yasuní, Prov. Orellana	00.36 S, 76.20 W	+	QCAZ
Río Cononaco, Prov. Orellana	01.25 S, 75.50 W	+	Patzelt (1989)
Río Oglán, Prov. Pastaza	01.19 S, 77.35 W	+	Rivero (1968)
Río Villano, Prov. Pastaza	01.29 S, 77.38 W	+	BM
Circa 66 km E of Pompeya, PN Yasuní, Prov. Orellana	00.45 S, 76.21 W	+	QCAZ
<i>French Guiana (24 localities, 24 presences)</i>			
Between Dorlin and Sophie	03.51 N, 53.34 W	+	McDiarmid (1973)
Between La Greve and Sophie	03.57 N, 53.35 W	+	McDiarmid (1973)
Boulanger	04.32 N, 52.25 W	+	ZFMK
Cayenne region*	04.50 N, 52.22 W	+	Lescure (1976)
Chaumière	04.53 N, 52.22 W	+	Lescure (1973)
Crique Grégoire (Kerenroch)	05.05 N, 53.20 W	+	Lescure (1973)
Crique Ipoucin	04.09 N, 52.25 W	+	Lescure (1976)
Kaw region	04.29 N, 52.20 W	+	Lescure (1976, 1981b)
Koulimapopane	02.19 N, 54.36 W	+	Lescure (1976)
Maripasoula	03.36 N, 53.12 W	+	NRM
Matoury	04.50 N, 52.25 W	+	Lescure (1976)
Montagne Belvédère*	03.37 N, 53.14 W	+	Kok (2000)
Montagne Saint-Marcel	02.25 N, 53.00 W	+	Lescure (1981a)
Monts Atachi-Bacca	03.35 N, 54.00 W	+	Lescure (1976)
Petit Saut	05.21 N, 53.41 W	+	Hoogmoed and Avila-Pires (1991)
Rivière Matarony	04.02 N, 52.15 W	+	McDiarmid (1973)
Rivière Yaroupi	02.35 N, 52.40 W	+	Lescure (1976)
Roura region	04.45 N, 52.20 W	+	Lescure (1976)
Saint Laurent region	05.30 N, 53.55 W	+	Lescure (1981a)
Saül region*	03.35 N, 53.56 W	+	Lescure (1981a)
Sophie region	03.55 N, 53.40 W	+	Lescure (1981a)
Tortue region	04.11 N, 52.23 W	+	Lescure (1976)

**Table 3** continued

Locality	Approximate location	Presence or apparent absence	Source(s)
Trois-Sauts	02.15 N, 52.50 W	+	Lescure (1981a); Lescure and Gasc (1986)
Circa 30 km S of Saül <i>Guiana (9 localities, 9 presences)</i>	03.20 N, 52.10 W	+	Lescure (1981a)
Between Chenapowu and Saveritih	04.55 N, 59.34 W	+	AMNH
Demerara River	04.47 N, 58.26 W	+	AMNH
Iwokrama	04.50 N, 59.15 W	+	M.L. Donnelly, pc
Kalacoon	06.24 N, 58.39 W	+	AMNH; McDiarmid, (1973)
Kangaruma	05.18 N, 59.17 W	+	AMNH; McDiarmid (1973)
Karisparu	04.58 N, 59.30 W	+	BM
Kartabo	06.21 N, 57.50 W	+	AMNH; McDiarmid (1973)
Potaro River	05.20 N, 59.17 W	+	BM
25 mi WSW of Mabura Hill* <i>Peru (31 localities, 21 presences)</i>	05.13 N, 59.21 W	+	AMNH
Achinamisa, Depto. San Martín	06.25 S, 75.54 W	+	AMNH
Balta, Depto. Ucayali	10.08 S, 71.13 W	–	Duellman and Thomas (1996)
Barranca, Depto. San Martín	07.16 S, 76.28 W	+	AMNH
Bolognesi region, Depto. Ucayali	10.02 S, 73.57 W	–	Lehr (2002)
Cachiyacu, Depto. San Martín	05.44 S, 77.29 W	+	Rivero (1968)
Chayahuitas, Depto. Loreto	05.50 S, 76.10 W	+	Rivero (1968); Lötters et al. (2002)
Cocha Cashu/PN Manu, Depto. Madre de Dios	11.54 S, 71.22 W	–	Rodríguez (1992)
Cuzco Amazónico, Madre de Dios	12.35 S, 69.05 W	–	Duellman and Salas (1991)
Explorama, Depto. Loreto	02.35 S, 71.57 W	–	Duellman and Thomas (1996)
Genaro Herrera, Depto. Loreto	04.59 S, 73.46 W	+	MUSM
Iquitos region, Depto. Loreto*	03.40 S, 73.20 W	+	AMNH; Rodríguez and Duellman (1994)
Manseriche, Depto. Loreto	04.25 S, 77.35 W	+	Rivero (1968)
Milagros, Depto. Ucayali	10.08 S, 74.01 W	–	Lehr (2002)
Monte Alegre, Depto. Loreto	06.42 S, 74.15 W	+	AMNH
Nauta region, Depto. Loreto	04.30 S, 73.40 W	+	Asquith and Altig (1987)
Panguana, Depto. Huánuco	09.35 S, 74.48 W	–	Schlüter (2005)
Pebas region, Depto. Loreto	03.20 S, 71.50 W	+	AMNH; Lescure (1981a)
Roabaya, Depto. Loreto	04.10 S, 73.20 W	+	Rivero (1968)
Río Ampiyacu, Depto. Loreto	03.10 S, 72.00 W	+	Lötters et al. (2002)

**Table 3** continued

Locality	Approximate location	Presence or apparent absence	Source(s)
Río Cachiyacu, Depto. Loreto	08.09 S, 76.32 W	+	Lötters et al. (2002)
Río Loretoyacu, Depto. Loreto	03.49 S, 70.26 W	+	AMNH
Río Pisqui, Depto. Loreto	08.05 S, 75.35 W	+	Lötters et al. (2002)
Río Sepahua, Depto. Ucayali	11.10 S, 73.01 W	+	Rivero (1968)
Río Távара, Depto. Puno*	13.31 S, 69.41 W	+	Bärtschi and MacQuarrie (2001)
Río Tambo, Depto. Loreto	01.15 S, 75.21 W	+	Rivero (1968)
Río Yubineto, Depto. Loreto	01.02 S, 74.13 W	+	Lescure and Gasc (1986), Lescure (1981a)
San Jacinto, Depto. Loreto	02.19 S, 75.52 W	–	Duellman and Mendelson (1995)
Tacsha, Depto. Loreto	03.40 S, 77.21 W	+	Rivero (1968)
Tambopata, Depto. Madre de Dios	12.44 S, 69.11 W	+	MUSN
Teniente López, Depto. Loreto	02.36 S, 76.07 W	–	Duellman and Mendelson (1995)
Yurimaguas, Depto. Loreto	05.54 S, 76.05 W	–	Authors' pers. observ
<i>Suriname (4 localities, 3 presences)</i>			
Brownsberg	04.55 N, 55.10 W	+	AMNH, KU
Corentijne River	05.10 N, 57.20 W	–	S. Reichle, pc
Monts Tumuc-Humac	02.20 N, 54.40 W	+	Lescure (1976, 1981a)
Mt. Kasikasima	03.00 N, 55.30 W	+	MZUSP
<i>Venezuela (1 locality, 0 presence)</i>			
Cerro Duida, Edo. Amazonas	03.30 N, 65.40 W		

As an altitudinal limit 800 m above sea level was chosen here (i.e. the approximate upper border of the *tierra caliente* lowlands). Localities in this list from where samples were used for molecular analyses are marked by an *asterisk*. Abbreviations: *Depto.* Departamento; *Edo.* Estado; *Prov.* Provincia; *PN* Parque Nacional; + present; – apparently absent; *pc* personal communication; *AMNH* American Museum of Natural History, New York; *BM* British Museum (Natural History), London; *ICN* Instituto de Ciencias Naturales, Universidad Nacional de Colombia, Santafé de Bogotá; *KU* Natural History Museum, The University of Kansas, Lawrence; *MUSM* Museo de Historia Natural de la Universidad Nacional Mayor de San Marcos, Lima; *MZUSP* Museu de Zoologia, Universidade de São Paulo; *NRM* Naturhistoriska Riksmuseet, Stockholm; *QCAZ* Pontificia Universidad Católica del Ecuador, Quito; *ZFMK* Zoologisches Forschungsmuseum Alexander Koenig, Bonn; *ZUEC* Museu de História Natural, Universidade Estadual de Campinas, São Paulo

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